

# A Double-Blind Controlled Trial of a Single Dose Naproxen and an Amino Acid Medical Food Theramine for the Treatment of Low Back Pain

William E. Shell, MD,<sup>1\*</sup> Elizabeth H. Charuvastra, RN,<sup>1</sup> Marcus A. DeWood, MD,<sup>2</sup> Lawrence A. May, MD,<sup>2</sup> Debora H. Bullias, BS, CRA,<sup>1</sup> and David S. Silver, MD<sup>2</sup>

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To study the safety and efficacy of a new medical food (Theramine) in the treatment of low back pain, we performed a 28-day double-blind randomized controlled trial in 129 patients. Back pain was present for at least 6 weeks and was not mild. Patients were randomly assigned to receive medical food alone (n = 43), naproxen alone (250 mg/d, n = 42), or both medical food and naproxen (n = 44). All patients were assessed by using Roland–Morris Disability Questionnaire, Oswestry Low Back Pain Scale, Visual Analog Scale Evaluation and laboratory analysis performed at baseline and at 28 days for assessing the safety and impact on inflammatory markers, which included complete blood counts, C-Reactive protein (CRP), and liver function (alkaline phosphatase, aspartate transaminase, and alanine transaminase). At baseline, there were no statistically significant differences in low back pain when assessed by Roland–Morris function or Oswestry assessments nor were there differences in the blood indices of inflammation. At day 28, both the medical food group and combined therapy group (medical food with naproxen) were statistically significantly superior to the naproxen-alone group ( $P < 0.05$ ). The medical food and naproxen group showed functional improvement when compared to the naproxen-alone group. The naproxen-alone group showed significant elevations in CRP, alanine transaminase, and aspartate transaminase when compared with the other groups. Medical food alone or with naproxen showed no significant change in liver function tests or CRP, with medical food potentially mitigating the effects seen with naproxen alone. The medical food (Theramine) appeared to be effective in relieving back pain without causing any significant side effects and may provide a safe alternative to presently available therapies.

*Keywords:* amino acid formulation, Theramine, pain, NSAIDs, C-reactive protein, naproxen, medical food, low back pain, neurotransmitter, nitric oxide

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## INTRODUCTION

A large percentage of the population will experience low back pain during their lifetime.<sup>1</sup> Low back pain can become chronic with considerable pain and debilitation. Long-term treatment adds additional costs to the healthcare system and time out of work is frequent and costly to society.<sup>2,3</sup>

The treatments for both acute and chronic back pain include nonsteroidal anti-inflammatory agents (NSAIDs), acetaminophen, narcotics, surgical interventions, and physical therapy.<sup>4,5</sup> Many of the drug treatment modalities have significant side effects, including gastrointestinal (GI) hemorrhage, kidney, and heart disease. The side effects of NSAIDs are related to the magnitude and frequency of the dose.<sup>6,7</sup>

Theramine, an amino acid formulation (AAF), has been developed and is used as a prescription medical food for the clinical dietary management of the metabolic processes associated with pain and inflammation.<sup>8</sup> The formulation is designed to increase the production of serotonin,<sup>9–11</sup> nitric oxide (NO),<sup>12–15</sup>

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<sup>1</sup>Physician Therapeutics, Los Angeles, CA; and <sup>2</sup>UCLA School of Medicine, Cedars-Sinai Medical Center, Los Angeles, CA.

\*Address for correspondence: 2980 Beverly Glen Circle, Suite 301, Los Angeles, CA 90077. E-mail: weshell@ptlcentral.com

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histamine,<sup>16–18</sup> and gamma-aminobutyric acid by providing precursors to these neurotransmitters. The neurotransmitters addressed in this formulation have well-defined and specific roles in the modulation of pain and inflammation. For example, gut serotonin alters platelet aggregation, whereas gut NO specifically reduces erosions induced by NSAIDs.<sup>19–21</sup> The formulation contains ingredients that are generally recognized as safe (GRAS) and is regulated by the Food and Drug Administration in the medical food category.<sup>22–24</sup>

A medical food that is GRAS and effective for its intended use and that has shown the ability to allow a reduction in the dose of NSAIDs used in the treatment of back pain, thereby reducing the side effects of these agents, would be of substantial use. The purpose of this randomized double-blind controlled clinical trial was to compare the effects of the AAF with and without low-dose naproxen in a 28-day study of 129 patients with chronic low back pain.

## MATERIALS AND METHODS

The study involved 129 patients in a 3-arm double-blind randomized trial comparing naproxen alone ( $n = 42$ ), AAF alone ( $n = 43$ ), or the combined use of AAF and naproxen ( $n = 44$ ). During the washout period, patients taking oral anti-inflammatory or other analgesic medicines discontinued their medication for 5 half lives before randomization. Aspirin ingestion ( $\leq 325$  mg/d) for nonarthritic conditions was allowed and used as a stable background drug. Only acetaminophen (650–1000 mg every 4–6 hours) was used as rescue therapy for pain but never exceeded 4 gm daily.

### Protocol

The study was conducted at 12 sites. At each site, informed consent was obtained, screening procedures were performed, and a washout period was begun. After the washout period, there was a baseline day-1 visit. At that time, a baseline Roland–Morris Disability Questionnaire, an Oswestry Low Back Pain Scale, and a Visual Analog Scale (VAS) evaluation were obtained. In addition, blood was sampled for assessing C-reactive protein (CRP), blood count, and blood chemistries.

On the day-1 visit, the patients were randomized to 1 of 3 groups: (1) naproxen-alone group, which was treated for 28 days with a 2-capsule dose of an amino acid–like placebo twice daily and naproxen 250 mg/d in the morning (2) AAF-alone group, which was treated with the active AAF at a 2-capsule dose twice daily and a single naproxen-like placebo in the morning, and (3) the combined group (both AAF and

naproxen), which was treated with active AAF at a 2-capsule dose twice daily and 250 mg of an active naproxen in the morning. The active and naproxen tablets were identical, and the AAF active and placebo capsules were identical.

On days 7 and 14, the evaluation of VAS and patient medication usage was completed.

On day 28, a Roland–Morris Disability Questionnaire, an Oswestry Low Back Pain Scale, a VAS Evaluation, and a patient medication usage evaluation were completed. Blood was again sampled for estimating CRP, blood count, and blood chemistries.

### Primary endpoints

The primary endpoints of the study were pain and disability as measured by the Roland–Morris pain questionnaire and the Oswestry Disability Index.<sup>25–29</sup>

### Patient selection

Patients were identified in 12 separate physicians' offices. Men and nonpregnant, nonlactating women aged between 18 and 75 years were recruited for the study. To be included in the study, patients were required to have back pain lasting  $< 6$  weeks, with pain present on 5 of 7 days during each of the 2 weeks before screening. Patients with a Roland–Morris back pain index  $> 40$  of 100 mm on the VAS were included. Finally, patients being treated with psychoactive medication were considered eligible to participate provided the dose remained stable for 3 months before study entry.

### Exclusion criteria

Patients with surgery in the previous 6 months were excluded as were patients with neurologic impairment. Patients with fracture of the spine within the past year and patients receiving oral, intramuscular, or soft tissue injection of corticosteroids within 1 month before screening were excluded. Patients were also excluded if they had a history of GI bleeding, gastric or duodenal ulcer as were patients receiving an epidural injection within 3 months before screening. Patients were also excluded for participation in a prior clinical trial within 1 month of screening for the present study. Finally, patients who used controlled substances or opiate analgesics for  $> 5$  days in the month before screening were considered ineligible to participate.

### Statistical analysis

The primary measure of efficacy was the change in awakening stiffness and pain scores obtained from the Roland–Morris Lower Back Pain Scale and the Oswestry Disability Index questionnaire evaluation.<sup>25–30</sup> Scores were assigned on study entry (day 0)

and at study end (day 28). Assuming that larger values are worse, a negative value for the change from baseline score indicates an improvement in the score, and positive values indicate a worsening in the score in percent.

Analysis of variance was used (ANOVA) to determine statistical differences among the 3 groups on the study entry and at the completion of treatment. Statistical significance was defined as  $P \leq 0.05$ . An intention to treat analysis was utilized.

Of the 129 patients who entered the trial, 126 completed the study. Patients who did not complete were carried forward as an intention to treat. As is shown in Table 1, none of the 3 study groups was statistically different on entry into the trial. Likewise, the laboratory responses assessed in each of the 3 study groups we measured, including CRP and hemoglobin (Hgb), alkaline phosphatase (alk phos), aspartate transaminase (AST), and alanine transaminase (ALT), were not statistically significantly different (Table 1) at baseline. CRP was chosen because it is an acute phase marker of inflammation. The liver enzymes (alk phos, AST, and ALT) were monitored to assess possible liver toxicity due to NSAIDs.

### Safety

There were no adverse events or complications among any of the groups during this 28-day study. There were no GI side effects observed in this cohort.

## RESULTS

Significant changes were observed among the 3 groups after 28 days (Table 2). The Naproxen group remained unchanged from baseline to 28 days when assessed by either the Oswestry Low Back Pain Scale or the Roland–Morris rating scale. There were significant differences in pain reduction in both the AAF-alone group and the amino acid/naproxen treated groups.

For example, The Roland–Morris Index if fell by 65%, and the Oswestry Disability index fell 61% between baseline and day 28 in the AAF/naproxen group. In the AAF-alone group, there was a significant reduction in back pain. Thus, if the AAF was used as either primary therapy or an adjunct to naproxen, low back pain was significantly improved. Low-dose naproxen had no appreciable effect on chronic back pain in 28 days. Similar results were seen on using the VAS scale.

### C-Reactive protein

In the single daily dose of naproxen (Table 3), CRP rose significantly ( $P < 0.001$ ). In the AAF-alone group, the CRP level fell by 16.7% ( $P < 0.05$ ). In the group treated with both the AAF and single daily dose of 250 mg of naproxen, CRP fell 78.6% ( $P < 0.001$ ).

### Laboratory measurements

For participants of the study, no significant differences were found in Hgb, Alk Phos, alanine transaminase, aspartate transaminase among the 3 groups, as shown in Table 1. Throughout the study (Table 3), neither Hgb nor Alk Phos changed significantly among the groups. Both ALT and AST values rose significantly in the naproxen-alone group compared with those in the AAF alone group or the AAF/naproxen-treated cohort. Although there was no clinical deterioration evident, there was laboratory evidence of hepatocellular inflammation if naproxen was used in the absence of active AAF.

## DISCUSSION

The data in this study indicate that addressing the dietary management of pain syndromes could allow for the dose reduction of NSAIDs without affecting therapeutic efficacy. Dietary management of disease is an underappreciated option for patients, although it has been in existence for >100 years. Osler<sup>31</sup>

**Table 1.** Clinical characteristics at study entry.

|                           | Mean $\pm$ SD           |                    |                   | P value |
|---------------------------|-------------------------|--------------------|-------------------|---------|
|                           | Naproxen alone (n = 42) | AAF alone (n = 43) | Both (n = 44)     |         |
| Oswestry Disability Index | 29.19 $\pm$ 7.49        | 24.21 $\pm$ 8.09   | 27.13 $\pm$ 8.19  | NS      |
| Roland–Morris Pain Scale  | 12.90 $\pm$ 5.14        | 10.97 $\pm$ 5.42   | 12.38 $\pm$ 5.31  | NS      |
| Hgb                       | 13.61 $\pm$ 3.92        | 13.93 $\pm$ 1.52   | 13.85 $\pm$ 1.51  | NS      |
| CRP                       | 1.9 $\pm$ 1.90          | 2.36 $\pm$ 3.3     | 3.53 $\pm$ 5.73   | NS      |
| Alk. Phos.                | 75.04 $\pm$ 27.1        | 73.7 $\pm$ 29.99   | 74.2 $\pm$ 19.16  | NS      |
| ALT                       | 24.85 $\pm$ 10.64       | 25.69 $\pm$ 15.46  | 30.53 $\pm$ 28.13 | NS      |
| AST                       | 20.85 $\pm$ 7.49        | 21.84 $\pm$ 11.3   | 25.69 $\pm$ 15.46 | NS      |

**Table 2.** Primary endpoints percent change from baseline.

|               | % Change from baseline    |                          |
|---------------|---------------------------|--------------------------|
|               | Oswestry disability index | Roland-Morris Pain index |
| Naproxen      | -3.4                      | 2.95                     |
| AAF           | -32.94                    | -44                      |
| Both          | -60.47                    | -65                      |
| P value ANOVA | <0.05                     | <0.05                    |

prominently emphasized the value of nutrition in his textbooks. Advances in science mandate inclusion of nutrient management of symptoms and disease.

Because nutrient management of disease has existed since therapeutic medicine began, evidence-based examples of more modern observations would be useful.

For example, Tepaske et al<sup>32</sup> administered an arginine-based preparation to patients before cardiac surgery. The clinical outcomes were found to be improved, specifically postoperative creatine clearance and immune function. Fonarow and coworkers<sup>33</sup> and Tepaske et al<sup>32</sup> demonstrated that administration of amino acid neurotransmitter precursors in patients with congestive heart failure improved clinical outcomes. These are 2 examples of recent observations of the importance of nutrient management of disease.

The AAF of neurotransmitter precursors used in this study is designed to elicit neurotransmitter production. The amino acid precursors support the production of neurotransmitters that modulate pain and inflammation. The precursors of serotonin, NO, histamine, and gamma-aminobutyric acid are supplied in this formulation as 5-hydroxytryptophan, arginine, histidine, and glutamine, respectively. These neurotransmitters modulate nociception and inflammation.<sup>34-48</sup> Histidine, for example, is converted to histamine, which elicits adrenocorticotrophic hormone/cortisol release.<sup>49,50</sup>

In this study, a single daily dose of 250 mg of naproxen had no effect on chronic back pain over 28 days, a nonsignificant 2.95% increase in the Roland-Morris Index measure of pain was found. The AAF

**Table 3.** Toxicity data percent change from baseline.

|               | % Change from baseline |       |          |       |       |
|---------------|------------------------|-------|----------|-------|-------|
|               | CRP                    | HB    | Alk Phos | ALT   | AST   |
| Naproxen      | 184.5                  | -1.6  | 1.68     | 7.4   | 20.24 |
| AAF           | -16.7                  | -1    | 4.75     | 2     | 1.37  |
| Both          | -78.6                  | -1.49 | 0.51     | -14.1 | 9.96  |
| P value ANOVA | <0.01                  | <0.01 | NS       | <0.05 | <0.05 |

alone produced a 44% reduction in the Roland-Morris Index and a 33% reduction in the Oswestry index. The AAF with 250 mg of naproxen administered once a day resulted in a 65% reduction in the Roland-Morris Index and a 61% reduction in the Oswestry Index.

Back pain is a common concern, affecting up to 90% of people during their lifetime. Nonsteroidal anti-inflammatory drugs are the most commonly used drugs in the treatment of pain and inflammation.<sup>51-59</sup> However, their use is limited by adverse drug side effects notably GI toxicity.<sup>6,60,61-64</sup> The adverse effects of NSAIDs are dose related.<sup>65-72</sup> The current advice of the American Geriatrics Society is to restrict or even eliminate NSAIDs in older people. This demographic with the highest incidence of osteoarthritis, back pain, and spinal stenosis is at greatest risk for adverse events. For many of these patients, the only alternative to NSAIDs may be addictive narcotics.

The study included 129 patients from 12 sites. The differences in the data were highly statistically significant, but the subjects were limited to 129 patients. Because the ingredients of the AAF are GRAS, a large safety trial would appear to be unnecessary. The single daily dose of naproxen is unlikely to cause liver or kidney damage. Whether the low dose of naproxen would be cardioprotective or whether the low dose of naproxen combined with the AAF would reduce the incidence of GI side effects was not examined. It is interesting to note that tryptophan induces an increase in platelet aggregability, and NO production in the GI tract is known to reduce NSAID-induced mucosal erosion.

Anti-inflammatory nonsteroidal drugs with NO-producing precursors attached (NO-NSAIDs) are a new class of drugs.<sup>73-77</sup> These compounds have been shown to retain the anti-inflammatory, analgesic, and antipyretic activity with reduced GI toxicity.<sup>20,73,78</sup> The use of an NO moiety with an NSAID has been shown in studies to inhibit in vitro T-cell proliferation<sup>79</sup> and cytokine production.<sup>79</sup> Moreover, NO-NSAIDs have been shown to be GI protective in several models. The AAF used in our study produces NO similarly to the NO-NSAIDs. If the reduction of inflammation and the alteration of nociception in chronic back pain syndromes seen in this study are also associated with the reduction of GI side effects associated with the NO-NSAIDs, the use of an AAF, with or without low-dose naproxen therapy may be useful in the management of back pain.

A single daily dose of naproxen increased the CRP by 185%, whereas the administration of AAF reduced the CRP. The AAF administered with naproxen reversed the elevation of CRP. There is a paucity of reported data on the effects of low-dose naproxen on

CRP. NSAIDs alter the prostaglandin inflammatory cascade but have little effect on other components such as cytokine release and T-cell activation.

The ingredients in the AAF are defined by the Food and Drug Administration as GRAS, and in this formulation, the doses fall within the acceptable daily dose for GRAS. The study, however, is underpowered to detect any potential deleterious interaction between the amino acids and naproxen. We could only detect an event of 1 in 129 exposures. We have examined a large number of subjects exposed to the AAF and various NSAIDs, and this manuscript is in preparation. In addition, additional double-blind trials will be necessary to detect potential deleterious interactions.

There are limited data, however, to indicate that the provision of neurotransmitter precursors alters the efficiency of pharmaceuticals. The data in this study indicate that the provision of amino acid precursors in a formulation to facilitate neurotransmitter production results in improving the efficiency of pharmaceutical therapy. We postulate that the mechanism is related to improving intracellular metabolic function rather than having any effect on the drug itself. This may be a new approach to a long-standing therapy.

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